## STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: BRUCE KEVIN DEAKIN
) FILE NO. 0400209

## **ORDER OF DENIAL**

TO THE RESPONDENT: Bruce Kevin Deakin

111 West Huron Street, Suite 305

Chicago, Illinois 60610

Bruce Kevin Deakin 2629 Garfield Avenue S.E.

Albuquerque, New Mexico 87106

c/o DS Realty & Capital Markets, Incorporated

111 West Huron Street, Suite 305

Chicago, Illinois 60610

C/o DS Realty & Capital Markets, Incorporated

2629 Garfield Avenue, S.E.

Albuquerque, New Mexico 87106

WHEREAS, a Summary Order of Denial was issued by the Secretary of State on July 29, 2004, which denied Bruce Kevin's Deakin's (the "Respondent") application for registration as an investment advisor representative in the State of Illinois until further order from the Secretary of State.

WHEREAS, pursuant to Section 11.F of the Illinois Securities Law of 1953 [815 ILCS 5] (the "Act"), the failure to request a hearing within thirty (30) calendar days of the entry of a Summary Order shall constitute an admission of any facts alleged therein and constitute a sufficient basis to make the Summary Order final.

WHEREAS, the Respondent has failed to request a hearing on the matters contained in the Summary Order within thirty (30) calendar days of the entry of said Summary Order and the Respondent is hereby deemed to have admitted the facts alleged in the said summary Order.

WHEREAS, the Secretary of State, by and through his duly authorized representative, has adopted the Findings of Fact contained in the said Summary Order as the Secretary of State's Findings of Fact as follows:

- 1. That on arch 12, 2004, DS Realty & Capital Markets, Incorporated, an investment adviser with registration pending, filed a Form U-4 application for registration of the Respondent as an investment adviser representative in the State of Illinois.
- 2. That on the Application Respondent disclosed that between March 1990 and September 1993, he was charged with/convicted of the following:
  - a. "McDonough County, Macomb Illinois 3/9/91 1 felony count of possession of a weapon by a felon 1 felony count of residential burglary convicted on both charges. Sentenced to 4 and 3 years in the Illinois Department of Corrections. Began 3/9/1991 released on parole 9/9/1992 released from parole 3/9/1992."
  - b. "McDonough County, Macomb Illinois, 06/01/1990 Sometime in first week of June 1990. 1 felony charge of deceptive practice by check. convicted in May 1991 to 3 years Illinois Department of Corrections. Including time served 18 months in IDOC and 6 months of parole complete in 3/1993."
  - c. "Jackson County, Murphysboro Illinois. 06/01/1990 sometime in first week of June 1990. all punishments complete 1 count of misdemeanor theft, 2 counts of felony forgery. Pled innocent. 02/11/1991 time served in February. Convicted/pled guilty on 1 count of misdemeanor theft. Convicted/pled guilty on 1 count of felony forgery. 1 count of forgery dropped. Sentenced to time served in Jackson County Jail on 2/11/1991, fined and released on 2 years probation. Fines paid in November 1992."
  - d. "Lake County, Waukegan Illinois. 01/17/1990 charged w/1 count of felony burglary to motor vehicle. 03/15/1990 felony burglary reduced to misdemeanor, sentence to probabtion. 3/15/1990."
- 3. That Section 8.E(1)(b) of the Act provides, inter alia, that the registration of an investment adviser representative may be denied if the Secretary of State finds that such applicant for registration as an investment adviser representative has engaged in any fraudulent business practice.
- 4. That by virtue of the foregoing, the Respondent's registration as an investment adviser representative in the State of Illinois is subject to denial pursuant to Section 8.E(1)(b) of the Act.

- 5. That Section 8.E(1)(m) of the Act provides, inter alia, that the registration of an investment adviser representative may be denied if the Secretary of State finds that such applicant for registration as an investment adviser representative has conducted a continuing course of dealing of such nature as to demonstrate an inability to properly conduct the business of investment adviser representative.
- 6. That by virtue of the foregoing, the Respondent's registration as an investment adviser representative in the State of Illinois is subject to denial pursuant to Section 8.E(1)(m) of the Act.

NOW IT IS HEREBY ORDERED THAT: Bruce Kevin Deakin's application for registration as an investment advisor representative in the State of Illinois is <u>DENIED</u>.

ENTERED: This 29<sup>th</sup> day of September 2004.

JESSE WHITE Secretary of State State of Illinois

NOTICE: This is a final order subject to administrative review pursuant to the Administrative Review Law, [735 ILCS 5/3-101 et seq.] and the Rules and Regulations of the Act (14 Ill. Admin. Code, Ch. 1., Sec. 130.1123). Any action for judicial review must be commenced within thirty-five days from the date a copy of this Order is served upon the party seeking review.